

Attorney Docket No.: MTEC-007/00US
Client Reference No.: 0124.00US

PATENT



Express Mail Label Number: EV 526725685 US
Date of Deposit: December 1, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of THEKKATH

Serial No.: 09/844,271

Examiner: Kendall, Chuck

Confirmation No.: 1047

Art Unit: 2122

Filed: April 30, 2001

For: **USER CONTROLLED TRACE RECORDS**

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$___ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: **December 1, 2004**

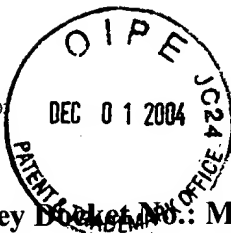
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Respectfully submitted,
COOLEY GODWARD LLP

By:



Edward Van Gieson
Reg. No. 44,386



Attorney ~~Docket No.~~: MTEC-007/00US
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document **F1-F2**, and **C1** cited in the attached Form PTO/SB/08 are enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☒ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

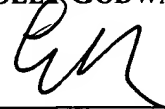
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: **December 1, 2004**

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Respectfully submitted,
COOLEY GODWARD LLP

By:



Edward A. Van Gieson
Reg. No. 44,386



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	09/844,271	
			Filing Date	April 30, 2001	
			First Named Inventor	THEKKATH	
			Group Art Unit	2122	
Examiner Name	Kendall, Chuck				
Sheet	1	of	2	Attorney Docket Number	MTEC-007/00US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	P1	3,473,154		Couleur et al.	10/14/1969
	P2	3,585,599		Hitt	06/15/1971
	P3	3,681,534		Burian et al.	08/01/1972
	P4	3,702,989		Provenzano, Jr. et al.	11/14/1972
	P5	3,704,363		Salmassey et al.	11/28/1972
	P6	3,707,725		Dellheim	12/26/1972
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	P8	3,794,831		Frankeny et al.	02/26/1974
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	P10	3,906,454		Martin	09/16/1975
	P11	4,205,370		Hirtle	05/27/1980
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	P13	4,423,508		Shiozaki et al.	12/27/1983
	P14	4,462,077		York	07/24/1984
	P15	4,503,495		Boudreau	03/05/1985
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	P17	4,539,682		Herman et al.	09/03/1985
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	P24	5,058,114		Kuboki et al.	10/15/1991
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	P30	5,471,594		Stone	11/28/1995
	P31	5,533,193		Roscoe	07/02/1996
	P32	5,581,691		Hsu et al.	12/03/1996
	P33	5,621,886		Alpert et al.	04/15/1997
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	P38	5,748,904		Huang et al.	05/05/1998
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	P42	5,878,208		Levine et al.	03/02/1999
	P43	5,946,486		Pekowski	08/31/1999
	P44	6,009,270		Mann	12/28/1999

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.



P45	6,012,085		Yohe et al.	01/04/2000
P46	6,106,573		Mahalingaiah et al.	08/22/2000
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P59	2002/0046393		Leino et al.	04/18/2002
P60	2002/0147965		Swaine et al.	10/10/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
	F1	GB	2 329 048		ARM Limited	10/03/1999	
	F2	GB	2 329 049		ARM Limited	10/03/1999	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

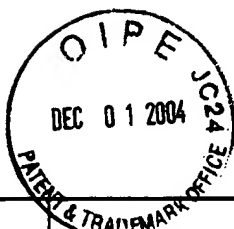
¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/844,271
				Filing Date	April 30, 2001
				First Named Inventor	THEKKATH
				Group Art Unit	2122
Examiner Name	Kendall, Chuck				
Sheet	1	of	1	Attorney Docket Number	MTEC-007/00US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Embedded Trace Macrocell Specification, Rev. 0/0a, ARM IHI 0014C, ARM Ltd. (1999)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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